

DISCLOSURE DIVISION

☒ **WAIVER REQUEST**

DATE: JUNE 15, 2016

☐ **ANSWER**

DOCKET #: 2016-709

☐ **RECONSIDERATION REQUEST**

Robin Gremillion, Director
Disclosure Division

FILER INFORMATION

Name: **FORD, CARLOS WAYNE**

Office/Position: -----

Board/Commission: Tallulah Charter School Board

Dates of Service: January 2013 – March 2014

Number of Disclosures and/or Amendments Filed with Agency: 3

REPORT INFORMATION

Tier Level: Tier 3

Name of Report: Tier 3 annual PFD covering 2014

Original Due Date: May 15, 2015

NOD Received: July 30, 2015

PFD Due Date based on NOD: August 10, 2015

PFD Filed: April 13, 2016

LATE FEE INFORMATION

Amount of Late Fee: \$1500

Days late from receipt of NOD: 247

Total days late from initial due date: 334

Late Fee Order Received: June 1, 2016

Payment/Waiver Request due date: June 21, 2016

Waiver Request Received: June 9, 2016

REASON FOR LATE FILING AND/OR COMMENTS:

Mr. Ford stated that he was not aware of his requirement to file a personal financial disclosure statement. He stated that once informed, he filed immediately. He also stated that he only attended two or three meetings before he was unable to attend due to his inability to walk (3rd degree burns on both legs). Mr. Ford further stated that the imposition of a \$1500 late fee would create a financial hardship – he doesn't have the money.

Correspondence was sent to Mr. Ford on this date requesting

OTHER LATE FEE INFORMATION

Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

July 28, 2015

CERTIFIED MAIL

NO. 70150640000317456821

RETURN RECEIPT REQUESTED

Carlos Wayne Ford
708 West Washington Street
Tallulah, LA 71282

RE: NOTICE OF DELINQUENCY - FAILURE TO FILE

**Statement covering 2014
Tallulah Charter School**


Dear Mr. Ford:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

You have 7 business days from the date of receipt of this Notice to file your Tier 3 Personal Financial Disclosure Statement covering 2014, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 3 Personal Financial Disclosure Statement (Form 418a) is available on the Louisiana Board of Ethics website at www.ethics.state.la.us. If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,



Vivian Head
Compliance Officer

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

arios Wayne Ford
18 West Washington Street
Bilalula, LA 71282



6821



9590 9403 0291 5155 0166 44

2. Article Number (Transfer from service label)

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X *[Signature]*

- ☒ Agent
- ☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

17-30

- D. Is delivery address different from item 1? ☐ Yes**
If YES, enter delivery address below: ☐ No

3. Service Type

- | | |
|--|---|
| <input type="checkbox"/> Adult Signature | <input type="checkbox"/> Priority Mail Express® |
| <input type="checkbox"/> Adult Signature Restricted Delivery | <input type="checkbox"/> Registered Mail™ |
| <input checked="" type="checkbox"/> Certified Mail® | <input type="checkbox"/> Registered Mail Restricted Delivery |
| <input type="checkbox"/> Certified Mail Restricted Delivery | <input checked="" type="checkbox"/> Return Receipt for Merchandise |
| <input type="checkbox"/> Collect on Delivery | <input type="checkbox"/> Signature Confirmation™ |
| <input type="checkbox"/> Collect on Delivery Restricted Delivery | <input type="checkbox"/> Signature Confirmation Restricted Delivery |
| <input type="checkbox"/> Insured Mail | |
| <input type="checkbox"/> Insured Mail Restricted Delivery | |

PS Form 3811, Apr

7015 0640 0003 1745 6821

Domestic Return Receipt

LOUISIANA BOARD OF ETHICS

Post Office Box 4368
Baton Rouge, Louisiana 70821

2016 APR 13 AM 11:55
TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT(ANNUAL)

This Report Covers Calendar Year: 2014

☒ ORIGINAL REPORT

☐ AMENDED REPORT

☐ FINAL REPORT WHERE TERM ENDS IN JANUARY (COVERING JANUARY 1 THROUGH JANUARY)

Final reports must be filed on or before May 15 of the year in which your service to that office ends.
Refer to the "GENERAL INFORMATION" sheet of this form to determine eligibility.

Office/Position Held: Tallulah Charter School Board Member

Name (print full name): Carlos Ford

Mailing Address: 708 W. Washington Street

City, State, Zip: 71282

Name of Spouse(if applicable) (print full name): _____

Spouse's Occupation _____

Principal Business Address: _____

City, State, Zip: _____

Check all that apply:

☒ I have filed my federal income tax return for the previous year.

☐ I have filed for an extension of my federal income tax return for the previous year.

☒ I have filed my state income tax return for the previous year.

☐ I have filed for an extension of my state income tax return for the previous year.

NOTE: La. R.S. 42:1124.3 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.

Certification of Accuracy

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

Carlos Ford
Signature of Filer



STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS

P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.state.la.us

CERTIFIED MAIL

NO. 70151520000288905629

RETURN RECEIPT REQUESTED

May 27, 2016

Carlos Wayne Ford
708 West Washington Street
Tallulah, LA 71282

RE: Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014
Tallulah Charter School - Madison Parish
Late Fee Assessment – Report: PFD16001471

Dear Mr. Ford:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of \$1,500 payable to the *Louisiana State Treasurer*, Post Office Box 4368, Baton Rouge, Louisiana 70821.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

- **Request a waiver to the *Board of Ethics***

If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.

- **Appeal the assessment directly to the *Ethics Adjudicatory Board***

If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary, Ethics Administration*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Robin Gremillion at 225/219-5600 or 1-800-842-6630.

Sincerely,

Robin Gremillion, Director
Disclosure Division



STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
STATE OF LOUISIANA
BOARD OF ETHICS
www.ethics.state.la.us

In Re: Tier 3 Annual Personal Financial Disclosure Statement 2014
Tallulah Charter School - Madison Parish
Late Fee Assessment – Report: PFD16001471

ORDER

CARLOS WAYNE FORD was required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014 pursuant to La. R.S. 42:1124 et seq.

On July 30, 2015, CARLOS WAYNE FORD received a Notice of Delinquency for failing to file the disclosure statement. The notice required that the disclosure statement be filed by August 10, 2015 (pursuant to La. R.S. 42:1124.4). CARLOS WAYNE FORD filed the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014 on April 13, 2016 and was 247 days late.

La. R.S. 42:1124.4 authorizes the assessment of a \$50 late fee per day (not to exceed \$1,500) against CARLOS WAYNE FORD for this late filing.

Accordingly, **IT IS ORDERED** that a late fee of \$1,500 be assessed against CARLOS WAYNE FORD for failing to timely file the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014.

ORDER signed on the 27th day of May 2016 at Baton Rouge, Louisiana.



Robin Gremlion, Director
Disclosure Division

CERTIFIED MAIL/RETURN RECEIPT: 70151520000288905629

English

Customer Service

USPS Mobile

Register / Sign In



USPS Tracking®



Customer Service ›
Have questions? We're here to help.



Get Easy Tracking Updates ›
Sign up for My USPS.

Tracking Number: 70151520000288905629

Updated Delivery Day: Tuesday, May 31, 2016

Product & Tracking Information

Postal Product:

Features:
Certified Mail™

DATE & TIME	STATUS OF ITEM	LOCATION
June 1, 2016 , 1:32 pm	Delivered	TALLULAH, LA 71282

Your item was delivered at 1:32 pm on June 1, 2016 in TALLULAH, LA 71282.

May 31, 2016 , 2:38 pm	Notice Left (No Authorized Recipient Available)	TALLULAH, LA 71282
May 31, 2016 , 8:28 am	Out for Delivery	TALLULAH, LA 71282
May 31, 2016 , 8:01 am	Sorting Complete	TALLULAH, LA 71282
May 31, 2016 , 7:47 am	Arrived at Unit	TALLULAH, LA 71282
May 30, 2016 , 7:36 pm	Departed USPS Facility	SHREVEPORT, LA 71102
May 29, 2016 , 1:35 am	Arrived at USPS Facility	SHREVEPORT, LA 71102
May 28, 2016 , 2:11 am	Departed USPS Facility	BATON ROUGE, LA 70826
May 27, 2016 , 9:52 pm	Arrived at USPS Facility	BATON ROUGE, LA 70826

Available Actions

Text Updates

Email Updates

Track Another Package

Tracking (or receipt) number

Track It

Manage Incoming Packages

Track all your packages from a dashboard.
No tracking numbers necessary.

Sign up for My USPS ›



Louisiana Board of Ethics
P.O. Box 4368
Baton Rouge, LA 70821

June 7, 2016

Dear Ms. Gremillion;

I, Carlos Wayne Ford, request a suspension of the fee for the Tier 3 Personal Financial Disclosure for the following reasons.

- I had never served on a board before. I wasn't told that I had to file a Tier 3 form.
- I only attended two (2) or three (3) meetings before I was unable to walk for a period of time because of second and third degree burns to both of my legs.
- I received a notice of delinquency from the Board of Ethics. I immediately called your office. Talked with one of the representatives and I filed a form; you have a copy.
- I actually don't know if I am a member of this board.
- I don't have \$1,500.00

Thanks in advance for your cooperation.

If any more information is needed please call me at
318-574-1955.

Sincerely,
Carlos Ford

2016 JUN 13 AM 10:10

RECEIVED
JUN 13 2016

Carlos Wayne Ford
708 W. Washington St.
Tallulah, LA 71282

SHREVEPORT LA 711

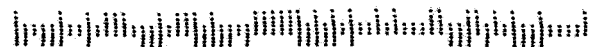
NO JUN 2016 PM 41



FOREVER/USA
2016

Louisiana Board of Ethics
P.O. Box 4368
Baton Rouge, LA 70821

7082184368





STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS

P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.state.la.us

June 15, 2016

Carlos Wayne Ford
708 West Washington Street
Tallulah, LA 71282

RE: Request for Additional Information
Tier 3 Annual Personal Financial Disclosure Statement
Report ID# PFD16001471
Waiver Request

Dear Mr. Ford:

On June 9, 2016, you requested a waiver of the \$1,500 late fee that was issued in connection with the filing of the above referenced disclosure statement.

In your request, you state that you did not attend meetings because of your inability to walk due to injuries. You also stated that the imposition of the late fee would cause a financial hardship. If you would like the Board to consider the request as stated, you must provide documentation to support and verify your claim. The documentation must be received by this agency on or before **July 15, 2016**.

If you have any questions, or need clarification on what to submit, you may contact me at 1-800-842-6630 or 225-219-5600.

Sincerely,

Robin Gremillion, Director
Disclosure Division